

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Van Brocklin, et al.

Confirmation No.:

Application No.: 10/792,335

Examiner:

Filing Date: March 2, 2004

Group Art Unit:

Title: Phase Change Electrophoretic Imaging for Rewritable Applications

COMMISSIONER FOR PATENTS
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- () under 37 CFR 1.97(c) together with either a:
() Statement under 37 CFR 1.97(e), or
() a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- () under 37 CFR 1.97 (d) together with a:
() Statement under 37 CFR 1.97(e), and
() a petition under 37 CFR 1.97(d)(2), and
() a \$180.00 petition fee set forth in 37 CFR 1.17(p).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge to Deposit Account **08-2025** the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **08-2025** pursuant to 37 CFR 1.25.

(X) Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

() A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

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Date of Deposit 4/15/04

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Washington, D.C. 20231 P.O. Box 1450, Alexandria, VA 22313-1450

By Sandra Saccocia
Typed Name: Sandra Saccocia

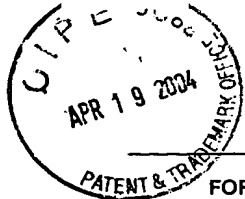
Respectfully submitted,

Van Brocklin, et al.

Theresa A. Devlin

Attorney/Agent for Applicant(s)
Reg. No. 45,361

Date: 4/15/04



PATENT APPLICATION

Sheet 1 of 4

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

200310863-1

APPLICATION NO.

10/792,335

CONFIRMATION NO.

APPLICANT

Van Brocklin, et al.

FILING DATE

March 2, 2004

GROUP

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A	6,580,545	June 17, 2003	Morrison et al.	
	1B	6,577,433	June 10, 2003	Lin et al.	
	1C	6,549,327	April 15, 2003	Foucher et al.	
	1D	6,538,801	March 25, 2003	Jacobson et al.	
	1E	6,531,997	March 11, 2003	Gates et al.	
	1F	6,525,136	Feb 25, 2003	Foucher et al.	
	1G	6,517,618	Feb 11, 2003	Foucher et al.	
	1H	6,515,649	Feb 4, 2003	Albert et al.	
	1I	6,512,354	Jan 28, 2003	Jacobson et al.	
	1J	6,504,524	Jan 7, 2003	Gates et al.	
	1K	6,473,072	Oct 29, 2002	Comiskey et al.	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L					
	1M					
	1N					
	1O					
	1P					

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	1Q	
	1R	
	1S	

EXAMINER

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ATTY. DOCKET NO.

200310363-1

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	2A	6,459,418	Oct 1, 2002	Comiskey et al.	
	2B	6,456,272	Sept 24, 2002	Howard et al.	
	2C	6,445,489	Sept 3, 2002	Jacobson et al.	
	2D	6,445,374	Sept 3, 2002	Albert et al.	
	2E	6,422,687	July 23, 2002	Jacobson	
	2F	6,392,785	May 21, 2002	Albert et al.	
	2G	6,380,922	April 30, 2002	Lynch et al.	
	2H	6,377,387	April 23, 2002	Duthaler et al.	
	2I	6,376,828	April 23, 2002	Comiskey	
	2J	6,373,461	April 16, 2002	Hasegawa et al.	
	2K	6,337,761	Jan 8, 2002	Rogers et al.	

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FORM PTO-1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO.	APPLICATION NO.	CONFIRMATION NO.
	200310863#1	10/792,335	
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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	3A	6,330,054	Dec 11, 2001	Ikami	
	3B	6,327,072	Dec 4, 2001	Comiskey et al.	
	3C	6,323,989	Nov 27, 2001	Jacobson et al.	
	3D	6,312,304	Nov 6, 2001	Duthaler et al.	
	3E	6,300,932	Oct 9, 2001	Albert	
	3F	6,262,833	July 17, 2001	Loxley et al.	
	3G	6,262,706	July 17, 2001	Albert et al.	
	3H	6,249,271	June 19, 2001	Albert et al.	
	3I	6,172,798	Jan 9, 2001	Albert et al.	
	3J	6,130,774	Oct 10, 2000	Albert et al.	
	3K	6,124,851	Sept 26, 2000	Jacobson	

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EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	4A	6,120,839	Sept 19, 2000	Comiskey et al.	
	4B	6,120,588	Sept 19, 2000	Jacobson	
	4C	6,118,426	Sept 12, 2000	Albert et al.	
	4D	6,067,185	May 23, 2000	Albert et al.	
	4E	6,045,955	April 4, 2000	Vincent	
	4F	6,017,584	Jan 25, 2000	Albert et al.	
	4G	5,389,945	Feb 14, 1995	Sheridon	
	4H	2002/0075557	June 20, 2002	Zhang et al.	
	4I	2002/0075420	June 20, 2002	Zhang et al.	
	4J				
	4K				

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